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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Albert R. DiPiero and David G. Sanders

Application No.: 09/990,123

Filed: November 21, 2001

For: HEALTH PLAN MANAGEMENT METHOD AND APPARATUS

INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

Pursuant to their duty of disclosure, applicants enclose copies of the documents listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:

- a. ☒ Within three months of the filing date of the above-identified application or within three months of the date of entry of the national stage, or before the mailing date of the first Office action on the merits, whichever event occurs last. (No statement under 37 CFR 1.97(e) is required.)
- b. ☐ After the period set forth in paragraph 1a, but before the mailing date of either a final action or a notice of allowance. (Check box i. or ii.)
 - i. A \$240.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the information disclosure statement and the \$130.00 petition fee set forth in 37 CFR 1.17(i) are enclosed.

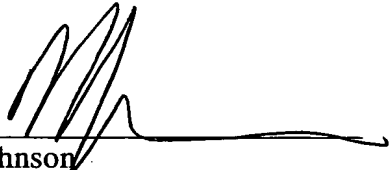
2. ☐ The attorney or agent signing below hereby states that:

- ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office

in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

By


W. Glen Johnson
Registration No. 39,525

April 8th, 2002

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

APPLICATION NO.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

APPLICANTS

FILING DATE

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
AA						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AB					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AC	"Health Grades, The Healthcare Quality Experts", <i>Source: www2.healthgradws.com</i> , printed December 7, 2001, 64 pages
AD	"Private Letter Ruling", <i>Internal Revenue Service (I.,R.S.)</i> , Issue: February 18, 2000 / November 19, 1999, pp. 1-13
AH	"LEXSTAT 26 USC 106", <i>LEXIS Law Publishing</i> , 2001, 7 pages
AI	"LEXSTAT IRC sec. 105", <i>LEXIS Law Publishing</i> , 2000, 8 pages
AJ	Jacob, Julie A., "Some insurers embracing defined contribution plans", <i>amednews.com</i> , March 12, 2001, 4 pages
AK	"Myhealthbank names two to management team", <i>The Business Journal, Portland</i> , June 2000, 2 pages
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DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.